

Disclosure of financial interests

The PSR's <u>Conflict of Interests Policy</u> requires all PSR employees to make declarations of financial interests in respect of themselves and their Close Family Members. A similar policy requires <u>non-executive directors</u> to make such disclosures.

The PSR manages any potential conflict of interests in accordance with the Conflict of Interests Policy and applicable law. In addition, the information below that has been provided by members of the Board and the Executive Committee is disclosed in the interests of transparency.

The information shows equity or bond investments held by Board and Executive Committee members (or members of their close family) in firms whose principal business is within the regulatory remit of the PSR. The information disclosed relates to investments held where the individual concerned takes the investment decisions. Investments held through discretionary investment management arrangements, including collective investment arrangements, where the individual concerned does not take investment decisions, are not included.

'Close Family Member' is defined as an individual who is a Spouse/Partner, Parent, Sibling or Child of an FCA or PSR employee or Non-Executive Director as defined in the Conflict of Interests Policy.

Carole Begent - Executive Committee Member

Financial Interest Type	Relevant Entity
Shares	Aviva plc
Shares	Standard Life Aberdeen plc
Shares	Standard Life Aberdeen plc
	Shares

Louise Buckley - Executive Committee Member

None

David Geale - Non-Executive Director

None

Chris Hemsley – Executive Director and Executive Committee Member

None

Genevieve Marjoribanks – Executive Committee Member

None

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Charles Randell – Chair of the FCA Board

None

Faith Reynolds – Non-Executive Director

None

Simon Ricketts - Non-Executive Director

None

Tommaso Valletti – Non-Executive Director

None

Aidene Walsh – Non-Executive Director

	Financial Interest Type	Relevant Entity
Aidene Walsh	Shares	NatWest Group plc
Aidene Walsh	Shares	Lloyds Banking Group plc